

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
15 March 2007 (15.03.2007)

PCT

(10) International Publication Number  
WO 2007/028531 A1(51) International Patent Classification:  
G06T 11/00 (2006.01) G06T 17/00 (2006.01)  
G06T 11/20 (2006.01) G06T 17/40 (2006.01)(74) Agent: BECK, Bernard; c/o Carl Zeiss Jena GmbH, Carl-  
Zeiss-Promenade 10, 07745 Jena (DE).(21) International Application Number:  
PCT/EP2006/008465

(22) International Filing Date: 30 August 2006 (30.08.2006)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
11/223,549 9 September 2005 (09.09.2005) US(71) Applicant (for all designated States except US): CARL  
ZEISS MEDITEC AG [DE/DE]; Göschwitzer Strasse  
51-52, 07745 Jena (DE).

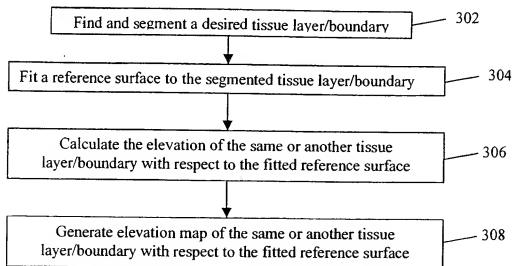
(72) Inventors; and

(75) Inventors/Applicants (for US only): EVERETT,  
Matthew, J. [US/US]; 2566 Regent Road, Livmore, CA  
94550 (US). ZHOU, Yan [SG/US]; 4322 Valley Avenue,  
Apt. F, Pleasanton, CA 94566 (US). MEYER, Scott,  
A. [US/US]; 994 Verona Avenue, Livmore, CA 94550  
(US). STETSON, Paul, F. [US/US]; 7101 Thornhill  
Drive, Oakland, CA 94611 (US).(81) Designated States (unless otherwise indicated, for every  
kind of national protection available): AE, AG, AL, AM,  
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,  
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,  
GB, GD, GE, GH, GM, HN, HR, HU, ID, IL, IN, IS, JP,  
KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT,  
LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ,  
NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU,  
SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR,  
TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.(84) Designated States (unless otherwise indicated, for every  
kind of regional protection available): ARIPO (BW, GH,  
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,  
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,  
FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT,  
RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA,  
GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— of inventorship (Rule 4.17(iv))

(Continued on next page)

(54) Title: METHOD OF BIOIMAGE DATA PROCESSING FOR REVEALING MORE MEANINGFUL ANATOMIC FEAT-  
URES OF DISEASED TISSUES

(57) Abstract: The present invention discloses a method for generating elevation maps or images of a tissue layer/boundary with respect to a fitted reference surface, comprising the steps of finding and segmenting a desired tissue layer/boundary; fitting a smooth reference surface to the segmented tissue layer/boundary; calculating elevations of the same or other tissue layer/boundary relative to the fitted reference surface, and generating maps of elevation relative to the fitted surface. The elevation can be displayed in various ways including three-dimensional surface renderings, topographical contour maps, contour maps, en-face color maps, and en-face grayscale maps. The elevation can also be combined and simultaneously displayed with another tissue layer/boundary dependent set of image data to provide additional information for diagnostics.

**Published:**

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## METHOD OF BIOIMAGE DATA PROCESSING FOR REVEALING MORE MEANINGFUL ANATOMIC FEATURES OF DISEASED TISSUES

### TECHNICAL FIELD OF THE INVENTION

- 5    **[0001]**       One or more embodiments of the present invention relate generally to methods for optical imaging of biological samples and for processing such images. In particular, the invention is a method for processing a three-dimensional image data set to generate elevation maps of tissue layers relative to a fitted smooth surface, which can provide more diagnostic information than a pure tissue layer thickness map. Maps of  
10   elevation may be embodied as three-dimensional surface renderings of elevation, topographical maps, or as color or grayscale maps.

### BACKGROUND OF THE INVENTION

- [0002]**       Measurement of biological tissue surface contour or layer thickness can  
15   provide useful diagnostic information in various applications. For example, arterial plaque thickness is related to the progress of atherosclerosis, carotid vessel wall thickness is also an indicator of cardiovascular disease risk; epidermal layer thickness is an indicator of burn severity.

- [0003]**       In ophthalmology, retinal thickness may be abnormally large in cases of  
20   retinal edema or traction by membranes in the vitreous humor. On the other hand, the retina may appear thin in cases of atrophic degeneration, chorioretinitis, or trauma to the retina. Meanwhile, changes in retinal thickness may be localized or extend over large areas. In certain cases, the overall contour of the retina may become abnormal. For example, pronounced myopia, particularly due to posterior staphylomas, may create a  
25   highly concave retina. Detachment of the retinal pigment epithelium (RPE), subretinal cysts, or subretinal tumors may produce a relative convexity of the retina. Therefore, mapping the retina contour or retinal thickness makes it possible to determine the extent and severity of such conditions and to monitor progress of treatment.

- [0004]**       In the past, there are a number of well-established biomedical imaging  
30   techniques that have been used for three-dimensional anatomical mapping of the eye, especially the retina, including optical coherence tomography (Zhou, Q. et al. (2004). "Mapping retinal thickness and macular edema by high-speed three-dimensional optical coherence tomography". Ophthalmic Technologies XIV, SPIE, **5314**: 119-125),

ultrasound (see for example, US5293871, US5562095), and confocal microscopy (see for example, US4838679; R. H. Webb (1996) "Confocal optical microscopy" *Rep. Prog. Phys.* 59 427-471). The three-dimensional data set has also been analyzed to identify layered structures in the tissue using a variety of approaches to image segmentation. (see for example, D. G. Bartsch, et al., (2004) "Optical coherence tomography: interpretation artifacts and new algorithm", *Proc. SPIE Medical Imaging 2004: Image Processing*, 5370: 2140-2151; H. Ishikawa, et al., (2005) "Macular Segmentation with Optical Coherence Tomography". *Invest Ophthalmol Vis Sci.*; 46: 2012-201).

[0005] These prior art methods measured and/or generated a map of a tissue layer thickness by searching for the borders of the tissue layer structures, figuring out the inner and outer boundaries and then finding the distance between the inner and outer boundaries. However, a major issue associated with a tissue layer thickness map is that it sometimes cannot reveal the diagnostically more meaningful features of a diseased part of the tissue. For example, retina thickness is defined as the vertical distance between the RPE (retinal pigment epithelium) 102 and the ILM (inner limiting membrane) 104 as shown in Fig. 1. A sharp bump 106 of the retina will often be associated with a rise in the RPE 102 as well as the formation of a lesion 108 below the RPE 102, such that the RPE also has a broad rise. As a result, a retina thickness map such as the color coded one shown in Fig. 2, which corresponds to Fig. 1, cannot reveal the substantially raised bump. In fact, the color coded thickness map shows that the thickness will only slightly increase near the bump but then return to normal over it. On the other hand, although a topographic map or contour of the RPE or ILM may reveal the sharp bump better for this illustrated case than the retina thickness map, it would include both the sharp bump and the broader warping of the RPE boundary, making it difficult to separate the effect of the disease from the overall shape of the RPE boundary

[0006] In light of the above, there is a need in the art for a method for generating elevation maps with respect to a reference fitted surface and for using the reference surface as a means of locating three-dimensionally a tissue or a layer or boundary of a tissue such as the retina, in order to provide diagnostically more meaningful information about potential diseased tissue.

[0007] The present invention is a novel and non-obvious method wherein a fitted reference surface is used to create an elevation map or image of a tissue layer/boundary with respect to the fitted reference surface. Use of such a fitted surface can minimize the

perturbations of the surface associated with disease so as to approximate the tissue surface that would exist if the tissue were normal. By using such a fitted surface, either of the tissue boundary being measured, or a different boundary, the effect of disease or injury is isolated from the overall shape of the tissue of interest, providing improved  
5 diagnostic information. In addition to various ways to display the elevation data relative to the fitted reference surface, the invention also combines the elevation data with other maps or images in order to provide more meaningful information for diagnostics.

#### SUMMARY OF THE INVENTION

10 **[0008]** One or more embodiments of the present invention satisfy one or more of the above-identified needs in the art. In particular, one embodiment of the present invention is a method for generating elevation maps or images of a tissue layer/boundary with respect to the location of a fitted reference surface, comprising the steps of finding and segmenting a desired tissue layer/boundary; fitting a smooth reference surface to the  
15 segmented tissue layer/boundary; calculating elevations of the same or other tissue layer/boundary relative to the fitted reference surface; and generating maps of elevation relative to the fitted surface.

**[0009]** One aspect of the present invention is to display the elevation in various ways including three-dimensional surface renderings, topographical contour maps, contour maps, en-face color maps, and en-face grayscale maps.  
20

**[0010]** Another aspect of the present invention is to combine and hence simultaneously display on the same map and/or image two sets of data with one set from the elevation relative to a fitted reference surface and the other set from a tissue layer/boundary dependent information, including, for example, actual thickness of a  
25 tissue layer, and image signal strength such as reflectance from an OCT system, birefringence from a polarization sensitive OCT system or a scanning laser polarimetry system, and intensity from a confocal imaging system.

**[0011]** Another aspect of the present invention is to perform the fitting to obtain the reference surface in a number of ways, including using a second-order polynomial fit, or using Zernike or Chebyshev or other polynomials, or Bessel functions, or a portion of a  
30 sphere or spheroid. Additionally, the fitting can also be performed by excluding certain portions of the tissue layer/boundary, i.e. the regions of diseased tissue, from the

determination of the fitted reference surface, or fitting on more than one region of the tissue layer/boundary or smoothing/filtering a tissue layer/boundary.

[0012] Still another aspect of the invention is to locate the general tissue layer/boundary contour for subsequent scans, which need to follow the tissue contour closely.

[0013] Additional aspects of the invention will be set forth in part in the description which follows. It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the invention, as claimed

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0014] Fig. 1 shows OCT images of a retina, illustrating RPE (retinal pigment epithelium), the ILM (inner limiting membrane), a sharp bump and a lesion below the sharp bump.

[0015] Fig. 2 is a color coded retina thickness map corresponding to Fig. 1

[0016] Fig. 3 shows a flow diagram of the steps of the invented image processing method.

[0017] Fig. 4 shows a retina image with the hue mapped as the square of the distance from the fitted RPE reference surface;

[0018] Fig. 5 shows a contour plot using the color coding that represents the distance from the ILM to a paraboloid fitted RPE reference surface;

[0019] Fig. 6 shows a pseudocolor image representing the distance from the ILM to a paraboloid fitted RPE reference surface;

[0020] Fig. 7 shows a three-dimensional rendering of the ILM surface elevation relative to the paraboloid fitted RPE reference surface, with the color indicating in duplicate the same elevation information.

[0021] Fig. 8 shows a three-dimensional rendering of the actual retina thickness superimposed with a pseudocolor image indicating ILM elevation with respect to the paraboloid fitted RPE reference surface

[0022] Fig. 9 shows a three-dimensional rendering of the ILM surface elevation relative to the paraboloid fitted RPE reference surface superimposed with a pseudocolor image indicating actual retinal thickness;

[0023] Fig. 10 shows a pseudocolor image representing the distance from the RPE to a paraboloid fitted RPE reference surface.

[0024] Fig. 11 is a schematic diagram of a basic OCT system capable of generating 3D image data that can be used in the method of the subject invention.

#### DETAILED DESCRIPTION

[0025] Fig. 3 shows one preferred embodiment the presently invented method. This method is intended to be used on image data obtained from a sample. The illustrations in this application are based on image data derived from an optical coherence tomography system (OCT) which includes both time domain and spectral domain OCT systems. Such an instrument generates 3D intensity data corresponding to an axial reflection distribution arising from reflecting features in the eye. As noted above, this information is currently used by doctors to view and diagnosis various pathologies in the eye. A basic OCT system will be discussed below.

[0026] Although the illustrated embodiments are limited to OCT data, the image processing concepts described herein may be used with 3D image data derived from other modalities. For example, image data may be created using various forms of confocal microscopy and even ultrasound imaging modalities.

[0027] The first step in the subject method requires identification of a subset of the image data which corresponds to a boundary surface within the sample (step 302). As used herein, boundary surface can be a limiting surface in the sample, a surface of a layer or other interface. The boundary should have a sufficient linear or 2D extent that it can be reasonably fitted to a geometric line or surface.

[0028] Identification of the image data corresponding to a boundary surface is performed using a segmenting function. Methods for finding and segmenting a desired tissue layer or boundary surface are well-known in the art.(see for example, H. Ishikawa, et al., (2005) "Macular Segmentation with Optical Coherence Tomography". *Invest Ophthalmol Vis Sci.*; 46: 2012-201).

[0029] Once the image data corresponding to the selected surface has been segmented, the boundary is fitted to a substantially smooth reference surface (step 304). There are a number of well-known methods for fitting a measured surface data points to a geometric surface. One example is a second-order polynomial fit. Other functions, including Zernike or Chebyshev polynomials, Bessel functions, or a portion of a sphere or

spheroid, can also be used for surface fitting. A smooth reference surface can be formed by fitting the shape of a tissue layer/boundary with a function of two variables. This requires a reasonably accurate segmentation of the chosen tissue layer/boundary and can be accomplished using, for example, a low-order polynomial fit in  $x$  and  $y$ .

5 [0030] The fitting may encompass the entire tissue layer/boundary or may be performed on various regions of the surface, e.g., fitting the foveal pit separately from the macula, or excluding pathological regions from the fitting. The reference surfaces can be used to define layers in the data that have the retinal tilt and curvature removed. In one aspect of the invention, these data points can be used to form en-face images representing  
10 retinal structures in those layers. This presents an advantage over the flat C-scan presentation of the data when imaging the curved layers in the anatomy of the eye, since a C-scan will only show tangential slices of the retinal layers.

[0031] As noted above, use of such a fitted surface can minimize the perturbations of the surface associated with disease so as to approximate the tissue surface that would  
15 exist if the tissue were normal. In this case, the fitting algorithm will function to reject points that are associated with the selected boundary surface but exist as a result of the disease. By using such a fitted surface, either of the tissue boundary being measured, or a different boundary, the effect of disease or injury is isolated from the overall shape of the tissue of interest, providing improved diagnostic information.

20 [0032] In the next step of the method (306), the distances or elevations between points on the reference surface and some other feature of interest are calculated. The feature of interest may be the actual boundary initially selected so that elevations will correspond to the deviations between the selected surface and the associated reference surface. The feature of interest can also be another interface or boundary within the  
25 sample.

[0033] In the next step of the subject invention (308), 2D data sets are generated based on the calculated distances between the reference surface and other feature of interest. The 2D data sets can be used to create elevation maps. The elevation maps may be created based on pseudocolor or gray scales with elevation encoded as color as shown  
30 in Fig. 6 or intensity (not shown); or as topographical contour maps with elevation encoded as contour height as shown in Fig. 5 or as three dimensionally rendered topographical maps. These types of maps may also be combined to simultaneously display on the same map two sets of data, one for elevation relative to a fitted reference



surface and the other for either another elevation relative to another reference surface, or an actual tissue layer thickness, or the originally collected image signal strength such as OCT or confocal optical signal strength for a tissue layer or other processed/unprocessed tissue layer/boundary data such as birefringence measured from a polarization sensitive  
5 OCT system or a scanning laser polarimetry system. For example, the distance from ILM relative to the RPE (i.e. the actual retina thickness) could be displayed as a contour map superimposed on a pseudocolor map of ILM elevation relative to a fitted RPE reference surface (not shown). Similarly, a pseudocolor map may be applied to a three-dimensional surface rendering in order to simultaneously display multiple information on elevation,  
10 thickness, reflectance or others.

[0034] In addition to the fact that by analyzing the curvature of the fitted reference surface, abnormal tissue layer curvatures (for example, the retina curvature for the case of pathologic myopia) can be diagnosed, the present invention has a number of other advantages over prior art methods as it can provide additional useful information for  
15 diagnosing diseased tissues. For example, the fitted reference surface can be used as a basis for elevation maps of retinal layers, to diagnose abnormal curvature of the retina, or as a guide for subsequent contour-following scans of that eye. Using such a fitted reference surface as a basis for "thickness" measurements could give more robust results because the exact topography of a deteriorating RPE may be more difficult to determine  
20 than the general shape of that layer. The reference surface determined by fitting will be more consistent than that determined by following the RPE in detail, especially in diseased eyes that may have breaks or complex variations in the RPE. As an example, map(s) of elevation can be displayed in the form of topographical contour map(s) applied to surface renderings of elevation. Fig. 5 shows a color contour coding that represents the  
25 distance from the ILM to a paraboloid reference surface fitted to the RPE. The corrected effective retinal "thickness" relative to the fitted surface is shown in microns on the color bar to the right of the map.

[0035] Additionally, presentation of topographic information relative to a fitted reference surface or surfaces can generate images with added information, for example:

- 30 (1) a 2-D false color image giving an en-face presentation of distance from the ILM to a reference surface fitted to the RPE can provide information on the effective retinal thickness which does not include thickness variations caused by small

perturbations in the RPE. Fig. 6 shows a pseudocolor image representing the distance from the ILM to a paraboloid fitted RPE reference surface;

(2) a 2-D false color image giving an en-face presentation of distance from the actual RPE to a reference surface fitted to the RPE itself can highlight localized variations in the RPE which may be associated with disease. Fig. 10 shows a pseudocolor image representing the distance from the RPE to a paraboloid fitted RPE reference surface.

(3) a color mapping for 2-D images or translucent 3-D renderings, in which brightness represents reflectance and hue represents distance from the reference surface, can provide an illustration of the height of brightly reflecting layers from the reference surface without detailed segmentation of all the layers. For cases of complicated pathology, fully automated segmentation algorithms for multiple layers may be too time-consuming for routine use and manual user intervention may not be practical. For example, in the case of an RPE detachment, the layers that are normally brightly reflecting very near to the reference surface are now very far removed from the reference surface. The human eye is very sensitive to hue changes, and a convenient way to illustrate such RPE detachment is to use hue changes. Fig. 4 shows a 2-D retina image with the hue mapped as the square of the distance from the fitted RPE reference surface.

(4) a flattened 3-D rendering of a retinal surface or surfaces, which shows the elevation relative to a reference surface rather than its actual contour elevation in the image data can provide a more meaningful view of the retina anatomic features. Fig. 7 shows a three-dimensional rendering of the ILM elevation relative to a paraboloid fitted RPE reference surface. Different pupil positions cause tilt in the recorded retinal images, and variations in working distance cause different curvature in the recorded retinal images. Warping the OCT data to flatten this image may have advantages for more standardized presentation, regardless of exact pupil and z position, aiding comparisons of the images between visits, or registration of multiple scans for other purposes such as speckle reduction.

(5) a 3-D rendering of the ILM with a color mapping for elevation relative to the reference surface fitted to the RPE can combine the false color image previously described in (1), along with the actual retina thickness which could indicate the presence of traction by membranes on its surface. Fig. 8 shows a three-

dimensional rendering of the actual retina thickness superimposed with a pseudocolor image indicating ILM elevation with respect to the paraboloid fitted RPE reference surface. On the other hand, such a superimposed 3D rendering can also be the other way round. For example, Fig. 9 shows a three-dimensional rendering of the ILM surface elevation relative to the paraboloid fitted RPE reference surface superimposed with a pseudocolor image indicating actual retinal thickness. The ILM elevation may reflect the position in the image data or a rendering that is flattened to a reference surface as previously described in (4).

[0036] Axial resolution may be wasted if the z-range of the scan does not follow the contour of the retinal tissue. A few initial scans could be used to determine the reference surface, then a retina-following scan could be performed by changing the OCT reference arm length to follow the predetermined reference surface as the transverse scans are performed.

[0037] Note that the present invention can also be applied to B-scan images in which case, the term reference surface should be interpreted as a reference curved line and tissue layer/boundary will also be interpreted as a curved line. Fig. 4 shows a B-scan retina image with the hue mapped as the square of the distance from the fitted RPE reference surface. The vertical distance in the image relative to the location of the fitted RPE reference surface is encoded as a color which is used to highlight the image.

[0038] The present invention does not need to follow the exact sequence as shown in Fig. 3, as other additional steps can be inserted to perform substantially equivalent operations. For example, the fitting operation may be approximated by smoothing or otherwise filtering the retinal layer. Also, the reference surface might not be the direct result of fitting, but some filtered version thereof. Furthermore, a variation of this idea could use two such reference surfaces, rather than the elevation from an unfitted surface to a reference surface.

[0039] The presently invented method could be applied to the analysis of the retina or curvature of the eye in existing and future OCT systems. It can also be used for analysis of other biological tissues such as the skin. Also, it may find use in ultrasound and confocal microscopy systems as well.

[0040] Fig. 11 shows a basic spectrometer based spectral domain OCT system 1100. The light wave from the broadband emitter 1110 is preferably coupled through a short length of an optical fiber 1112 to an input port (port I) of a fiber optic coupler 1114,

which splits the incoming light beam into the two arms of a Michelson interferometer. The two arms each have a section of optical fiber (1116 and 1118) that guides the split light beam from the two output ports (port II and port III) of the fiber coupler 1114 to a sample 1124 and a reference reflector 1126 respectively. For both the sample arm and the reference arm, at the terminating portion of each fiber, there may be a module containing optical elements to collimate or focus or scan the beam. Illustrated in Figure 11 as an embodiment are two focusing lenses 1120 and 1122. The returned light waves from the sample 1124 and the reference reflector 1126 are directed back through the same optical path of the sample and reference arms and are combined in fiber coupler 1114. A portion of the combined light beam is directed through a section of optical fiber 1130 from port IV of the fiber coupler 1114 to a spectrometer 1150. Inside the spectrometer, the light beam is dispersed by a grating 1152 and focused onto a detector array 1154. Note that the principle of operation of a tunable laser based swept source OCT is very similar to that of a spectrometer based spectral domain OCT system (see for example, Choma, M. A. et al. (2003). "Sensitivity advantage of swept source and Fourier domain optical coherence tomography." Optics Express 11(18): 2183-2189), hence the spectral domain OCT system for obtaining the 3D image data set can also be a swept source OCT system.

**[0041]** Although various embodiments that incorporate the teachings of the present invention have been shown and described in detail herein, those skilled in the art can readily devise many other varied embodiments that still incorporate these teachings.

The following references are hereby incorporated by reference:

#### US Patent Documents

US4838679

5 US5293871

US5562095

US provisional patent application, series number: 60/632,387

#### Other Publications

10 Adaikkappan, M. et al., (2002) "Evaluation of Carotid Atherosclerosis by B-Mode Ultrasonographic Study in Hypertensive Patients Compared with Normotensive Patients" *Ind J Radiol Imag*; **12**:3:365-368.

Bartsch, D. G. et al., (2004) "Optical coherence tomography: interpretation artifacts and new algorithm", *Proc. SPIE Medical Imaging 2004: Image Processing*,  
15 **5370**: 2140-2151.

Choma, M. A. et al. (2003). "Sensitivity advantage of swept source and Fourier domain optical coherence tomography." *Optics Express* **11**(18): 2183-2189

Ishikawa, H. et al., (2005) "Macular Segmentation with Optical Coherence Tomography". *Invest Ophthalmol Vis Sci.*; **46**: 2012-201.

20 Webb, R. H. (1996) "Confocal optical microscopy" *Rep. Prog. Phys.* **59** 427-471.

Zhou, Q. et al. (2004). "Mapping retinal thickness and macular edema by high-speed three-dimensional optical coherence tomography". *Ophthalmic Technologies XIV*, SPIE, **5314**: 119-125.

## Claims:

1. A method for generating images from a 3D image data set, said data set obtained from scanning a sample, said sample having at least one boundary surface associated therewith, said method comprising the steps of:
  - identifying a subset of the image data corresponding to the boundary surface;
  - fitting the subset of image data to a substantially smooth reference surface;
  - calculating the distance between points on the reference surface to points of interest within the sample identified from the 3D image data set; and
  - generating an image map of the calculated distances.
2. A method as recited in claim 1, wherein the points of interest correspond to points on said boundary surface.
3. A method as recited in claim 1, wherein the points of interest correspond to points on a different boundary surface.
4. A method as recited in claim 1, wherein the sample is skin.
5. A method as recited in claim 1, wherein the sample is a blood vessel.
6. A method as recited in claim 1, wherein the sample is an eye.
7. A method as recited in claim 6, wherein the boundary surface is the cornea.
8. A method as recited in claim 6, wherein the boundary surface is the retina.
9. A method as recited in claim 1, wherein the 3D image data set is obtained using an OCT system.

10. A method as recited in claim 1, wherein the 3D image data set is obtained from a confocal microscope.

11. A method as recited in claim 1, wherein the 3D image data set is obtained from an ultrasound scanner.

12. A method for generating information from a 3D image data set, said 3D data set obtained from scanning a sample, said sample having at least one boundary surface associated therewith, said method comprising the steps of:

identifying a subset of the image data corresponding to the boundary surface;

fitting the subset of image data to a substantially smooth reference surface;

calculating the distance between points on the reference surface to points of interest within the sample identified from the 3D image data set; and

generating a 2D data set of the calculated distances.

13. A method as recited in claim 12, further including the step of generating an image map from the 2D data set.

14. A method as recited in claim 12, wherein the 3D image data set is obtained using an OCT system.

15. A method as recited in claim 14, wherein the sample is an eye.

16. A method as recited in claim 15, wherein the points of interest correspond to points on said boundary surface.

17. A method as recited in claim 15, wherein the points of interest correspond to points on a different boundary surface.

18. A method as recited in claim 15, wherein the boundary surface is the cornea.

19. A method as recited in claim 15, wherein the boundary surface is the retina.
20. A method as recited in claim 12, wherein regions of the boundary surface that are significantly impacted by disease are omitted from the fitted surface to minimize perturbations of the fitted surface.
21. A method for generating images from a 3D image data set, said data set obtained from scanning a sample, said sample having at least one boundary surface associated therewith, said method comprising the steps of:
- identifying a subset of the image data corresponding to a first boundary surface;
  - fitting the subset of image data to a substantially smooth reference surface;
  - identifying a second boundary surface;
  - calculating the distance between points on the reference surface to corresponding points on the second boundary surface; and
  - generating an image map of the calculated distances.
22. A method as recited in claim 21, wherein the first and second boundary surfaces are the same.
23. A method as recited in claim 21, wherein the first and second boundary surfaces are different.
24. A method as recited in claim 21, wherein the 3D image data set is obtained using an OCT system.
25. A method as recited in claim 24, wherein the sample is an eye.
26. A method as recited in claim 25, wherein the boundary surface is the cornea.



27. A method as recited in claim 25, wherein the boundary surface is the retina.
28. A method as recited in claim 21, wherein regions of the first boundary surface that are significantly impacted by disease are omitted from the fitted surface to minimize perturbations of the fitted surface.
29. A method for generating an elevational map from a 3D image data set, said data set derived from an OCT scan of an eye, said eye having at least one boundary surface associated therewith, said method comprising the steps of:
- identifying a subset of the image data corresponding to the boundary surface;
  - fitting the subset of image data to a substantially smooth reference surface;
  - calculating the elevation between points on the reference surface to points of interest within the sample identified from the 3D data set; and
  - generating an elevational image map from the calculated elevational points.
30. A method as recited in claim 29, wherein the points of interest correspond to points on said boundary surface.
31. A method as recited in claim 29, wherein the points of interest correspond to points on a different boundary surface.
32. A method as recited in claim 29, wherein regions of the boundary surface that are significantly impacted by disease are omitted from the fitted surface to minimize perturbations of the fitted surface.
33. A method as recited in claim 29, wherein the fitting step is performed by one of a second-order polynomial fit, Zernike polynomials, Chebyshev polynomials, Bessel functions.

34. A method as recited in claim 29, wherein the subset of image data is fit to portion of a sphere.

35. A method as recited in claim 29, wherein the fitting step is performed using a low-order polynomial fit in  $x$  and  $y$ .

36. A method as recited in 29, claim wherein the reference surface is created by fitting to 3D data corresponding to the retinal pigment epithelium.

37. A method as recited in claim 29, wherein the elevational points are calculated between the reference surface and the retinal pigment epithelium.

38. A method as recited in claim 29, wherein the elevational points are calculated between the reference surface and the inner limiting membrane.

39. A method as recited in claim 29, wherein the elevational information is presented as a contour map.

40. A method as recited in claim 29, wherein the elevational information is presented as a color map.

41. A method as recited in claim 29, wherein the elevational information is presented as 3D surface rendering.

42. A method as recited in claim 29, further including the step of generating secondary image information related to a structural feature of the eye and wherein said map comprises both the elevational points and the secondary image information.

43. A method as recited in claim 42, wherein the elevational points are presented in a form selected from the group consisting of contours, color variations, grey scale, 3D surface rendering and wherein the secondary image information is presented in a form selected from the same group as the elevational points but using a different form than used for the elevational points.

44. A method as recited in claim 42, wherein the elevational points are presented as a color map and the secondary image information is shown as a 3D surface rendering.

45. A method as recited in claim 42, wherein the elevational points are presented as 3D surface rendering and the secondary image information is presented as a color map.

46. A method as recited in claim 42, wherein the secondary image information corresponds to one of the elevation between actual boundaries, actual thickness of a tissue layer, image signal strength, birefringence and data from a fit to a different reference surface

47. A method as recited in claim 42, wherein the elevational points are presented as a color map and said secondary image information is image signal strength and is presented as variations in brightness in the color image

48. A method as recited in claim 42, wherein the image map comprises a contour map having variations in color superimposed thereon with the elevation points corresponding to either the contour or color variations and with the secondary image information corresponding to remaining image variable.

49. A method as recited in claim 42, wherein the boundary surface is the RPE and wherein the secondary information corresponds to the distance from the ILM to the RPE and is displayed in the form of a contour map and wherein the elevational points correspond to the distance from ILM to the reference surface and are displayed in the form of a color map superimposed on the contour map

50. A method for generating an elevational map from a 3D image data set, said data set derived from an OCT scan of an eye, said eye having at least one boundary surface associated therewith, said method comprising the steps of:

identifying a subset of the image data corresponding to the boundary surface;  
fitting the subset of image data to a substantially smooth reference surface;  
calculating the elevation between points on the reference surface to points of interest within the sample identified from the 3D data set; and  
generating a 2D set of the calculated elevational points.

51. A method for generating images from a 2D image data set, said data set obtained from scanning a sample, said sample having at least one boundary surface associated therewith, said method comprising the steps of:

identifying a subset of the image data corresponding to the boundary surface;  
fitting the subset of image data to a substantially curved reference line;  
calculating the distance between points on the reference line to points of interest within the sample identified from the 2D image data set; and  
generating an image map of the calculated distances.

52. A method as recited in claim 51, wherein the image data set includes 3D information.

53. A method for generating images from a 2D image data set, said data set obtained from scanning a sample, said sample having at least one boundary surface associated therewith, said method comprising the steps of:

identifying a subset of the image data corresponding to the boundary surface;  
fitting the subset of image data to a substantially curved reference line;  
calculating the distance between points on the reference line to points of interest within the sample identified from the 2D image data set; and  
generating a 2D data set of the calculated distances.

54. A method as recited in claim 53, wherein the image data set includes 3D information.

55. A method as recited in claim 54, further including the step of generating an image map from the 2D data set.

1/6

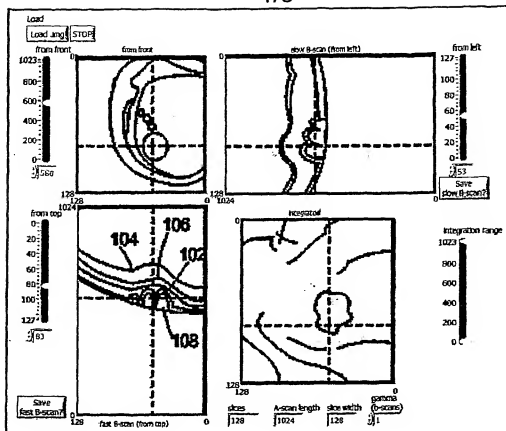


Fig.1

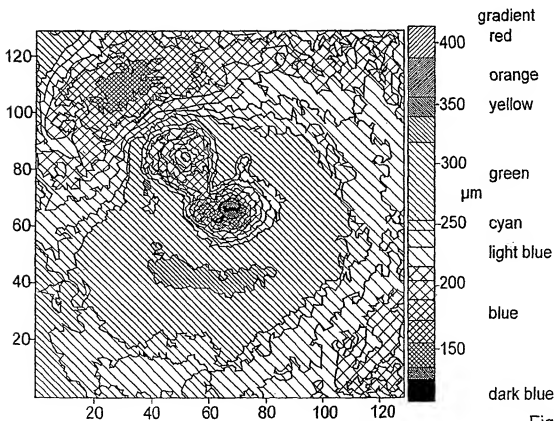


Fig.2

2/6

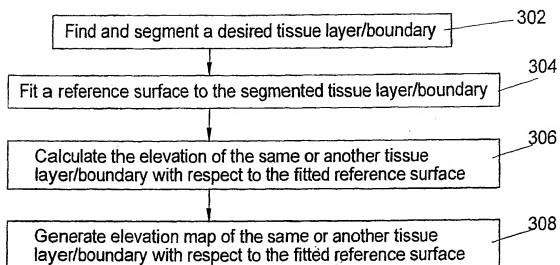


Fig.3

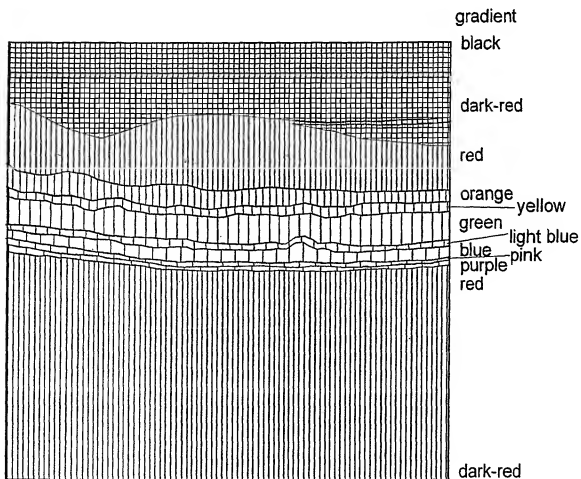


Fig.4

3/6

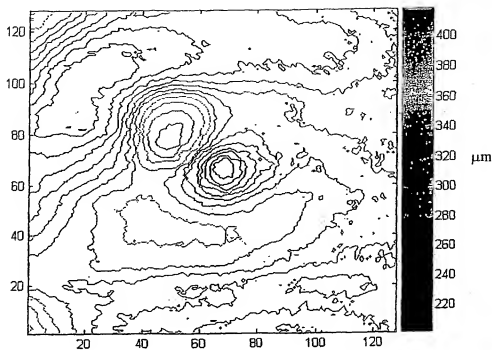


Fig. 5

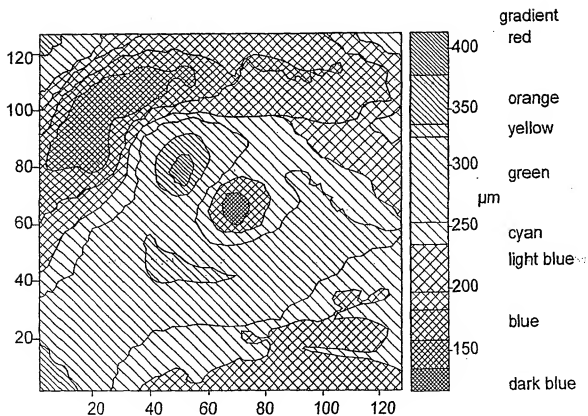


Fig.6



4/6

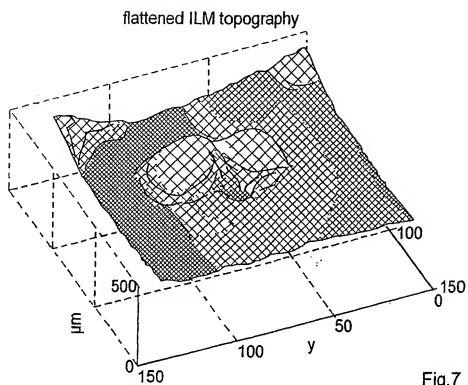


Fig.7

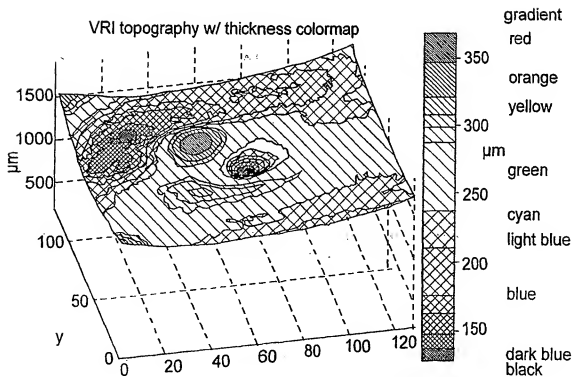


Fig.8

5/6

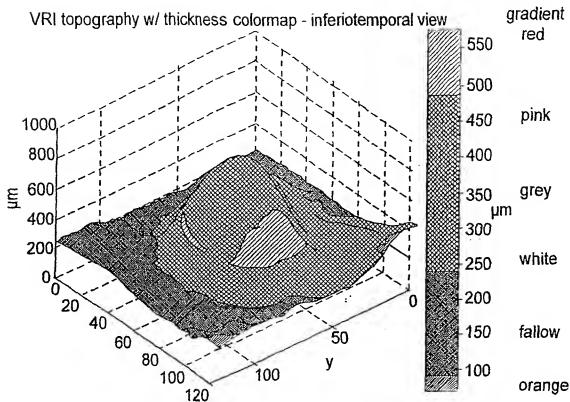


Fig.9

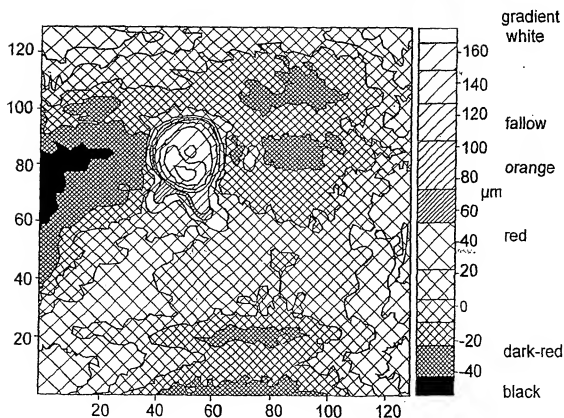
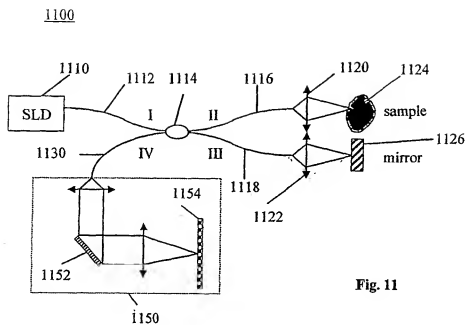


Fig.10



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP2006/008465

## A. CLASSIFICATION OF SUBJECT MATTER

INV. G06T11/00 G06T11/20 G06T17/00 G06T17/40

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G06T

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GUIRAO A ET AL: "Corneal wave aberration from videokeratography: accuracy and limitations of the procedure" JOURNAL OF THE OPTICAL SOCIETY OF AMERICA. A, OPTICS AND IMAGE SCIENCE, vol. 17, no. 6, June 2000 (2000-06), pages 955-965, XP002303001 ISSN: 0740-3232 abstract paragraph [001.]	
A	US 2005/096515 A1 (GENG Z J [US]) 5 May 2005 (2005-05-05) abstract; claims 1,2,5-7 paragraphs [0063], [0086], [0087] ----- -/-	1-55

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

21 November 2006

Date of mailing of the international search report

19/12/2006

Name and mailing address of the ISA/  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Casteller, Maurizio

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2006/008465

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 415 683 A2 (GEN ELECTRIC [US]) 6 March 1991 (1991-03-06) abstract; claims 1,3 -----	1-55
A	ISHIKAWA HIROSHI ET AL: "Macular segmentation with optical coherence tomography" IOVS, vol. 46, no. 6, June 2005 (2005-06), pages 2012-2017, XP002408330 ISSN: 0146-0404 cited in the application abstract page 2013, right-hand column, line 6 - page 2014, left-hand column, line 22 -----	1-55

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2006/008465

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 2005096515	A1	05-05-2005	NONE	
EP 0415683	A2	06-03-1991	JP 3139331 A JP 7049037 B	13-06-1991 31-05-1995